



ICI GLOBAL AT A GLANCE

ICI GLOBAL SERVES THE INTERNATIONAL FUND COMMUNITY

ICI Global's mission is to advance the common interests and promote public understanding of global investment funds, their managers, and investors. It is the international affiliate of the Investment Company Institute (ICI) and is headquartered in London with offices in Hong Kong and Washington, DC. ICI Global's team of experts serves regulated fund managers and regulated funds publicly offered to investors in leading jurisdictions worldwide.

ICI GLOBAL SERVES YOU

As a member of ICI Global, you receive access to expert analysis of policies and developing issues, empirical research about the global fund and retirement industries, timely and proprietary memoranda on regulations, operations expertise, and advocacy before policymakers as well as in the media. You also gain opportunities to hear insights from regulators and engage with your peers through events that ICI Global convenes around the world.

ICI GLOBAL FOCUSES ON KEY ISSUES

Cross-border fund regulation

- UCITS and AIFMD
- Financial transaction tax
- FATCA
- Volcker Rule
- Asia-Pacific cross-border fund distribution
- Mutual recognition
- RQFII

Market structure and trading

- Dealing commissions
- Swaps and derivatives regulation in the EU, US, and Asia-Pacific region
- High-frequency and algorithmic trading
- Order routing and transparency
- Consolidated tape

Operations and cybersecurity

- Information security programmes
- Cybersecurity risk management
- Distribution and settlement
- Shareholder servicing

The role of funds in financing the real economy

- Asset management and financial stability
- Capital market development
- Securities lending and repo
- Money market funds

The role of funds in retirement and long-term savings

- System design
- Default settings
- Target date funds
- Investor education

ICI GLOBAL ENGAGES WITH POLICYMAKERS AROUND THE WORLD

ICI Global's team leverages and builds on ICI's broad policy and research expertise to engage with policymakers worldwide about the issues that are important to funds and their investors.

Through meetings with influential regulators...comment letters based on empirical research and sound analysis...dynamic industry events...and high-profile speaking engagements, ICI Global works to stay aware of and actively contribute to significant regulatory developments from an array of national and international policymakers.

ICI GLOBAL LEADS THE WAY WITH A TEAM OF REGULATORY AND POLICY EXPERTS



Dan Waters, MANAGING DIRECTOR

- Before joining ICI Global as managing director, Dan Waters served as asset management sector leader for the UK Financial Services Authority (FSA) from 2004 to 2010, leading the FSA's regulatory and supervisory policy for the asset management industry.



Qiumei Yang, CEO, ICI GLOBAL ASIA PACIFIC

- Qiumei Yang came to ICI Global from the Hong Kong Stock Exchange, where she served as deputy head of the market development division and head of mainland development. She also worked for 10 years at the CSRC in roles including deputy director general for the department of investment fund management.



Patrice Bergé-Vincent, MANAGING DIRECTOR, EUROPE

- Before joining ICI Global, Patrice Bergé-Vincent was a partner with PwC France, where he led the asset management regulatory practice. He previously worked at the Autorité des marchés financiers as head of the asset management regulation policy division.



Susan Olson, CHIEF COUNSEL

- Before her current position, Susan Olson served as ICI's senior counsel for international affairs. Earlier in her career, Olson worked in the division of investment management at the US Securities and Exchange Commission, where she resolved legal issues arising under the Investment Company Act.



Paul Schott Stevens, PRESIDENT AND CEO, ICI

- As president and CEO of ICI, Paul Schott Stevens oversees ICI Global. Stevens has decades of experience in the fund industry, having served as ICI's general counsel before taking on his current role in 2004, and is well-known for his accomplishments in the public and private sector.

To find out how to become an ICI Global member, please contact **Michelle Kretsch** at **+1 202 326 5972** or **kretsch@ici.org**.



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