



COMMENT LETTER

May 1, 2026

Joint Comment Letter to the SEC on Recordkeeping Requirements for Investment Advisers

The Investment Company Institute and the Investment Adviser Association submitted a joint letter to the SEC to express support for modernizing the books and records rule under the Investment Advisers Act of 1940.

[Read the comment letter.](#)

Copyright ©2026 by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.